

PUBLICATIONS BOARD APPROVED CHANGES TO ACM COPYRIGHT, PERMISSIONS, AND ACCESS POLICIES

1. Replace the ACM Copyright Transfer Agreement with a license*

(*The License Agreement is in draft. Final terms have not been reviewed. Basic concepts outlined.)

- Copyright will remain with the authors, including rights to revise, republish, post and re-use the work subject to the exclusive publishing license granted ACM.
- All existing Author Rights are incorporated in the license
 - Exclusive ownership of Patents and trademarks
 - Reuse of any portion of the work without fee in future work
 - Revision of work and creation of derivative works
 - Freely posting author-prepared version of work in
 - Personal collection
 - Institution's repository
 - Repository legally mandated by funding agency
 - Creating *Author-Izer* links for free downloads from ACM DL
 - Free distribution of definitive version within company by employer owning copyright
 - Sole control of third-party permissions for artistic images
 - Free posting of author-prepared work anywhere in any informal collection prior to submission to ACM for publication
- Additional Author Rights are part of the license
 - Bundling the work in author's open-source software distributions
 - Sole control of third-party permissions for software and algorithms published by ACM
- Author grants ACM exclusive rights
 - To publish, reproduce, and distribute work
 - in any aggregation or collection of works including the ACM DL, subject to the above Author Rights
 - To grant third party republication and distribution rights
- Author Authorizes ACM to act on author's behalf to defend author's copyright

2. SIG option to make sponsored proceedings openly available in the DL before, during, and after a conference for a total of one month.

- Proceedings may be made freely available from the Digital Library up to 2 weeks prior to and through the end of the conference with CFP notification to authors of the earlier publication date.
- A SIG may choose to extend free access from the Digital Library to sponsored proceedings after the conference.

- The total time the proceedings may be available for free access is one month.
- For co-sponsored events, all co-sponsors must agree, whether they are ACM SIGs or independent entities.**
- Downloads during any open period will be recorded as distinct from subscribed downloads.
- All downloads, including free ones, will be included in count used to calculate DL revenue allocation.

**The EC has recommended that in the case of co-sponsorship with IEEE that the ACM SIG co-sponsor(s) unilaterally decide on SIG posting in the years that ACM holds the publication rights.

3. Offer an Author Pays Option for Open Access Articles

- Differential cost per article for ACM Member/Nonmember.
- Differential cost per article for journal/proceedings.
- Upon payment, article is permanently free.
- A Creative Commons 3.0 License replaces the Author Copyright.
- ACM commits to a transparent “anti-double-dipping” revenue-neutral policy.
- ACM will track OA revenue separately from subscription revenue.
- OA fees will not be used to accelerate revenue growth at the expense of authors and institutional subscribers.

4. Three year Experiment: SIG option to post the Table of Contents of the current volume of sponsored proceedings with Author-Izer links on the ACM SIG site or on the conference site.

- ACM HQ will facilitate the creation of the ToC with Author-Izer Links.
- During the three years of the experiment, all downloads, including free ones, will be included in count used to calculate DL revenue allocation.
- Each new volume will *replace* the previous volume on the SIG site. Building up an open *archive* will not be part of this experiment.
- One-off sponsored conferences may be posted in this fashion for 12 months.
- For co-sponsored events, all co-sponsors must agree, whether they are ACM SIGs or independent entities.**
- All SIGs, whether they choose this option or not, may also open their current proceedings *inside* the Digital Library for up to 4 weeks as described in Policy #2 above.

**The EC has recommended that in the case of co-sponsorship with IEEE that the ACM SIG co-sponsor(s) unilaterally decide on SIG posting in the years that ACM holds the publication rights.

HQ will carefully monitor this three-year experiment. Free downloads coming through the Author-Ized links on the SIG or conference site will be tracked against the subscribed downloads for the open volume. The number of downloads for the open volume will be compared to the previous year's subscribed download figure to see how the openness affects usage. SIG membership numbers will be compared over the course of the experiment to gauge the impact of opening up a previous SIG membership benefit to the world. As always, subscriptions will be closely monitored as will the reaction of ACM's Library Advisory Board.

This is not a policy change. Access to the ACM Digital Library is still by subscription. ACM is testing the effects of opening an additional free pathway to content on an experimental basis. At the end of this three year experiment, the data captured will be analyzed to determine whether to make this experiment into policy, shut it down, or expand it.

5. Provide Technical support for off-campus institutional patron authentication to access the ACM Digital Library

- For patrons at institutions using Athens or Shibboleth authentication.
- For patrons at institutions using IP-based authentication to ACM DL.
- For patrons at companies using domain-based authentication to ACM DL.

6. Implement an ACM DL Data for Research Program

- Metadata and extracted full-text available for download.
- Registration of party conducting research including project description and contact information.
- Simple Agreement to secure data for those doing the research, not to redistribute, and to acknowledge ACM in any publication.

7. Publicly announce and promote ACM Fair Access Policies

During the investigation of discontent with ACM policies, it became obvious that many of those interviewed thought they were prohibited from doing things with their works that have always been sanctioned ACM Author Rights, even under the current Copyright Transfer Agreement. The new policies and ACM's position on access issues must be made highly visible in clear language.